



I. Important Announcements ([Chinese](#))

1. Securities and Futures Enterprises Required to Appoint Chief Corporate Governance Officers by Applicable Deadlines

To enhance corporate governance and support the operations of the boards of directors of securities and futures market service enterprises, the Financial Supervisory Commission (FSC) amended the Regulations Governing the Establishment of Internal Control Systems by Service Enterprises in Securities and Futures Markets by adding Article 36-3 on 15 March 2019, stipulating that service enterprises may, according to their size, business conditions, and management needs, appoint qualified corporate governance personnel in an appropriate number, and may appoint one chief corporate governance officer as the most senior executive for corporate governance affairs. On the same day, the FSC also issued Order No. Financial-Supervisory-Securities-Firms-1080305776, requiring integrated securities firms that are listed on the Taiwan Stock Exchange (TWSE) or the Taipei Exchange (TPEX), or that are subsidiaries of a financial holding company, to appoint a chief corporate governance officer by the end of June 2019, and requiring all publicly issued integrated securities firms and all TSWE and TPEX listed futures commission merchants to appoint a chief corporate governance officer by the end of June 2021.

2. Regulations Governing Loaning of Funds and Making of Endorsements/Guarantees by Public Companies Amended on 7 March 2019 to Relax the Provisions for Financing between Members of a Corporate Group and the Ceiling of Short-Term Financing by Leasing Enterprises

To provide members of corporate groups with more flexibility in capital deployment and utilization and help SMEs access more financing resources, the FSC relaxed the provisions for financing between members of a corporate group and the ceiling of maximum amount permitted short-term financing by leasing enterprises. Meanwhile, for public companies that have set up audit committees, the formulation and amendment of operation procedures for lending and endorsements/guarantees have to be approved by their audit committees. Likewise, public companies with independent director(s) are required to inform the supervisor(s) and independent director(s) of any material violation

related to lending and endorsements/guarantees with written notification. The rectification plans shall also be sent to the independent director(s).

3. Information on the competitiveness of Taiwan's financial industry is available online at :

<https://www.fsc.gov.tw/en/home.jsp?id=27&parentpath=0,6>

II. Market Wrap-up

As of the end of March 2019, the total number of TWSE listed companies stood at 932, an increase of 3 over the preceding month. Authorized capital was NT\$ 7,157.93 billion, a decrease of NT\$ 0.89 billion over the preceding month. Market capitalization was NT\$ 32,126.56 billion, an increase over the preceding month of NT\$ 840.3 billion.

There were 770 TPEX listed companies, the same as the preceding month. Authorized capital was NT\$ 738.7 billion, a decrease of NT\$ 0.83 billion from the preceding month. Market capitalization was NT\$ 3,201.07 billion, an increase of NT\$ 42.67 billion from the preceding month.

The total value of stock trades on the centralized market was NT\$ 2,027.59 billion, an increase of NT\$ 554.51 billion from the preceding month. The volume of trading was 43.22 billion shares, an increase of 13.59 billion from the preceding month. The net value of inwardly remitted foreign funds was US\$ 205.83 billion, an increase of US\$ 1.746 billion from the preceding month.

There were a total of 63 securities firms. There were 15 enterprises exclusively engaged in futures commission merchant business, 39 securities investment trust companies, and 83 securities investment consulting companies.

III. Measures related to futures trading, please refer to the website of Taiwan Futures Exchange :

<http://www.taifex.com.tw/enl/eIndex>

IV. For frequently asked questions and answers related to investment by foreign investors, please refer to the website of the Securities and Futures Bureau :

<https://www.sfb.gov.tw/en/home.jsp?id=26&parentpath=0,9>

Note: If you expect to receive this newsletter, or have your name deleted from the sending list, or have your email information changed, please send to newsletter1 newsletter1@sfb.gov.tw. If you hope to know more about the Taiwan's securities and futures markets, please surf the websites of [Securities and Futures Bureau](#), [Taiwan Stock Exchange](#), [Taiwan Futures Exchange](#), [Taipei Exchange](#), [Taiwan Depository & Clearing Corporation](#).

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壹、重要公告

一、 規範證券期貨業應依相關期程設置公司治理主管

為優化公司治理，強化對董事之支援，金管會於 108 年 3 月 15 日修正發布「證券暨期貨市場各服務事業建立內部控制制度處理準則」，增訂第 36 條之 3，明定各服務事業得依其業務情況及管理需要，配置適任及適當人數之公司治理人員，並得指定公司治理主管一名，為負責公司治理相關事務之最高主管。金管會並於同日發布金管證券字第 1080305776 號令，規範上市(櫃)綜合證券商與金控子公司之綜合證券商應於 108 年 6 月底前設置公司治理主管一名；公開發行之綜合證券商及上市(櫃)期貨商應於 110 年 6 月底前設置公司治理主管一名。

二、 108 年 3 月 7 日修正發布「公開發行公司資金貸與及背書保證處理準則」，放寬集團企業間從事資金融通之規定及租賃業從事短期資金融通之限額

為增加集團企業資金調度運用之彈性及增進中小企業融資管道，放寬集團企業間從事資金融通之規定及租賃業從事短期資金融通之限額，並明訂已設置審計委員會之公開發行公司，其訂定或修正資金貸與他人及背書保證作業程序應經審計委員會通過，及已設置獨立董事之公開發行公司，對於資金貸與或背書保證重大違規事項，除應書面通知監察人，亦應以書面通知獨立董事，相關改善計畫亦應一併送獨立董事。

三、 有關我國金融競爭力相關資訊可參考金管會金融競爭力專區網站

<http://www.fsc.gov.tw/ch/home.jsp?id=146&parentpath=0,8>

貳、重要指標

截至 2019 年 3 月底止，上市公司計有 932 家，較上月增加 3 家；資本額新臺幣 71,579.3 億元，較上月減少新臺幣 8.9 億元；上市市值新臺幣 321,265.6 億元，較上月增加新臺幣 8,403 億元。

上櫃公司計有 770 家，與上月家數相同；資本額新臺幣 7,387 億元，較上月減少新臺幣 8.3 億元；上櫃市值新臺幣 32,010.7 億元，較上月增加新臺幣 426.7 億

元。

集中市場股票總成交值新臺幣 20,275.9 億元，較上月增加新臺幣 5,545.1 億元；成交量 432.2 億股，較上月增加 135.9 億股。外資總累積匯入淨額 2,058.3 億美元，較上月增加 17.46 億美元。

專營證券商計 63 家，專營期貨商計 15 家，證券投資信託公司計 39 家，證券投資顧問公司計 83 家。

參、交易人從事期貨交易相關措施請參考臺灣期貨交易所網站

<http://www.taifex.com.tw/cht/index>

肆、外資投資相關問答集請參考證券期貨局網站

<http://www.sfb.gov.tw/ch/home.jsp?id=30&parentpath=0,6>

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